

## TECHNICAL ANNEX

### Enhanced Response Capacity Funding

#### FINANCIAL, ADMINISTRATIVE AND OPERATIONAL INFORMATION

The provisions of the financing decision ECHO/WWD/BUD/2019/01000 and the General Conditions of the Agreement with the European Commission shall take precedence over the provisions in this document.

The activities proposed hereafter are subject to any terms and conditions which may be included in the related Humanitarian Implementation Plan (HIP).

#### 1. CONTACTS

Operational Unit in charge	DG ECHO <sup>1</sup> /C1
Contact persons at HQ	Judit Barna, Judit.BARNA@ec.europa.eu
in the field	Jenny Hobbs, Jenny.HOBBS@echofield.eu

#### 2. FINANCIAL INFO

Indicative Allocation: EUR 2 030 000.

Breakdown as per Worldwide Decision (in euros)

Complementary operations	HA-FA: EUR 2 030 000
Total:	HA-FA: EUR 2 030 000

#### 3. PROPOSAL ASSESSMENT

Under the EU Financial Regulation, grants must involve co-financing; as a result, the resources necessary to carry out the action must not be provided entirely by the grant. An action may only be financed in full by the grant where this is essential for it to be carried out. In such a case, justification must be provided in the Single Form (section 10.4).

##### 3.1. Administrative info

###### Allocation round

- Indicative amount: up to EUR 2 030 000.
- Costs will be eligible from 15/04/2019<sup>2</sup>

<sup>1</sup> Directorate-General for European Civil Protection and Humanitarian Aid Operations (ECHO)  
ECHO/ERC/BUD/2019/91000

- c) The expected duration for the Action is up to 24 months.
- d) Potential partners<sup>3</sup>: All DG ECHO Partners.
- e) Information to be provided: Single Form for global capacity building actions<sup>4</sup>, medium to long term indicative budget and work plan.
- f) Indicative date for receipt of the above requested information: **by 12/09/2019**

## 3.2. Operational requirements:

### 3.2.1. Assessment criteria:

#### 1) Relevance

- How relevant is the proposed intervention and its coverage for the objectives of the HIP?
- Do joint (prioritised) needs assessment and coordination mechanisms of the humanitarian actors exist, and if so, has the joint needs assessment been used for the proposed intervention and/or has the proposed intervention been coordinated with other relevant humanitarian actors?

#### 2) Capacity and expertise

- Does the partner, with its implementing partners, have sufficient country / region and / or technical expertise?
- How good is the partner's local capacity? Is local capacity of partners being built up?

#### 3) Methodology and feasibility

- Quality of the proposed response strategy, including intervention logic / logframe, output & outcome indicators, risks and challenges.
- Feasibility, including security and access constraints.
- Quality of the monitoring arrangements.

#### 4) Coordination and relevant post-intervention elements

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<sup>2</sup> The eligibility date of the Action is not linked to the date of receipt of the Single Form. It is either the eligibility date set in the Single form or the eligibility date of the HIP, whatever occurs latest.

<sup>3</sup> For British applicants (non-governmental organisations): Please be aware that you must comply with the requirement of establishment in an EU Member State for the entire duration of the grants awarded under this HIP. If the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding or be required to leave the project on the basis of Article 15 of the grant agreement.

<sup>4</sup> Single Forms will be submitted to ECHO using APPEL; medium to long term indicative budget and work plan are to be attached to the Single Form in APPEL.

- Extent to which the proposed intervention is to be implemented in coordination with other actions (including where relevant use of single interoperable registries of beneficiaries).
- Extent to which the proposed intervention contribute to resilience, LRRD and sustainability.

#### 5) Cost-effectiveness/efficiency/transparency

- Does the proposed intervention display an appropriate relationship between the resources to employed, the activities to be undertaken and the objectives to be achieved?
- Is the breakdown of costs sufficiently displayed/explained?<sup>5</sup>

#### 6) Assessment criteria for Enhanced Response Capacity Funding

- Systemic scope and global nature, i.e. geared towards capacity building benefitting the humanitarian eco-system as a whole and/or all actors involved in humanitarian relief.
- Inclusiveness – consortia or coordination agreement, quality and number of partners – to ensure the broadest coverage of the given sector(s) and the potential uptake.
- Incorporating lessons learned and good practice, and building of and proactive sharing of lessons and evidence throughout and in follow-up to the Actions.
- Effectiveness of approach and intervention logic to achieve outcomes (specific objective) in terms of improved humanitarian response capacity.

#### 3.2.2. *Specific operational guidelines and operational assessment criteria:*

This section outlines the specific operational guidelines that need to be taken into account by DG ECHO partners in the design of humanitarian operations supported by DG ECHO. It also lists and explains the assessment criteria – based on those outlined in section 3.2.1 - that will be applied by DG ECHO in the specific context of the HIP to which this Technical Annex relates when assessing proposals submitted in response to the related HIP.

Partners should submit together with the proposal an indicative medium to long term financial overview which estimates the resource needs beyond the ECHO funding period, as relevant. This should include a discussion of the options to cover these needs for example through cost recovery, contributions of participating partners or funding through other donors. The partners are free to choose the most appropriate format of this indicate overview.

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<sup>5</sup> In accordance with the relevant section of the Single Form guidelines (section10)

In terms of inclusiveness, DG ECHO seeks to encourage hybrid initiatives which involve a broad range of actors engaged in humanitarian response – for example the private sector, academia, scientific research, and key regional organisations.

Open and effective knowledge sharing within and beyond the humanitarian sphere is considered primordial. In order to ensure the maximum reach and uptake, materials produced by the Action should be shared on recognized, existing platforms. A concept to ensure ownership and accessibility of the material beyond the project duration should be elaborated. This includes options for future updates, if applicable.

Proposals including a training component should include monitoring system/logframe with indicators for participants' reaction, learning and behaviour change according to the Kirkpatrick model or similar. This includes baselines as well as systematic end of training and ex-post monitoring.

Projects will be subject to a monitoring mission by ECHO staff at least once and preferably, if applicable, in form of joint monitoring missions to field locations with headquarters representatives of the partner organizations. As a donor, DG ECHO might become observer in project advisory boards.

Specific operational guidelines when referring to cash activities

Where assistance is to be delivered in the form of cash transfers, particular attention will be paid to the principles laid down in DG ECHO's cash guidance note, which will form the basis for the assessment and selection of partners, in particular in the case of large scale transfers. Partners will be expected to demonstrate a satisfactory efficiency ratio and, to the extent possible and taking into account the operational context, partners will be assessed on their ability to work on the basis of common targeting criteria, single or interoperable beneficiary registries, a single payment mechanism, a common feedback mechanism and a common results framework. In line with the cash guidance note DG ECHO will expect partners to strive for segregation of duties and full transparency on the costs of implementation. For the delivery of smaller-scale cash transfers, DG ECHO will assess proposals paying particular attention the Guidance note's principles of coordination, harmonisation and multi-partner approach. A good efficiency ratio will also be expected for small-scale projects.