

TECHNICAL ANNEX

Enhanced Response Capacity Funding

FINANCIAL, ADMINISTRATIVE AND OPERATIONAL INFORMATION

The provisions of the financing decision ECHO/WWD/BUD/2017/01000 and the General Conditions of the Agreement with the European Commission shall take precedence over the provisions in this document.

1. CONTACTS

Operational Unit in charge	ECHO C1
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2. FINANCIAL INFORMATION

Indicative Allocation: EUR 4 675 000

Breakdown as per Worldwide decision:

Specific Objective 5 - Transport/Comp. activities	HA-FA: EUR 4 675 000
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3. PROPOSAL ASSESSMENT

3.1. Administrative information

Assessment Round 1

- Indicative amount: up to EUR 4 675 000 (subject to the availability of payment appropriations, the amount awarded may be lower than the overall indicative amount or be spread over time).
- Costs will be eligible from 01/01/2017.¹ Actions can start from 01/01/2017.
- The expected duration for the Action is up to 24 months.
- Potential partners: All ECHO Partners
- Information to be provided: Single Form for global capacity building actions², medium to long term indicative budget and work plan.
- Indicative date for receipt of the above requested information: by 31/01/2017³

¹ The eligibility date of the Action is not linked to the date of receipt of the Single Form. It is either the eligibility date set in the Single form or the eligibility date of the HIP, whatever occurs latest.

² Single Forms will be submitted to ECHO using APPEL

³ The Commission reserves the right to consider Single Forms transmitted after this date, especially in case certain needs/ priorities are not covered by the received Single Forms.

Assessment Round 2

- a) Indicative amount: up to EUR 1 000 000.
- b) Description of humanitarian aid interventions relating to this assessment round: Please refer to section 3.4 of the HIP – specifically on the "Gender Based Violence (GBV)" priority – and to the specific guidelines under section 3.2.2.2 of this Technical Annex.
- c) Costs will be eligible from 01/01/2017.⁴ Actions can start from 01/01/2017.
- d) The expected duration for the Action is up to 24 months.
- e) Potential partners: All ECHO Partners
- f) Information to be provided: Single Form for global capacity building actions⁵, medium to long term indicative budget and work plan.
- g) Indicative date for receipt of the above requested information: by 27/10/2017⁶

3.2. Operational requirements:

3.2.1. *Assessment criteria:*

Assessment Round 1

- Relevance to the priorities defined in the HIP and relevance to general ECHO policies. For the additional funds made available after the World Humanitarian Summit (WHS), relevance to the commitments made in the context of the WHS is the central assessment criterion.
- Systemic scope and global nature, i.e. geared towards capacity building benefitting the humanitarian system as a whole and/or all actors involved in humanitarian relief.
- Incorporation of lessons learned and good practice.
- Knowledge management well-defined, sustainable and open; willingness to proactively share lessons and evidence.
- Synergies with other initiatives, absence of overlaps.
- Inclusiveness (consortia or coordination agreement, quality and number of partners) to ensure the broadest coverage of a given sector or subject and the potential uptake.
- Coordination with relevant humanitarian governance mechanisms (e.g. Global Clusters, communities of practice).

⁴ The eligibility date of the Action is not linked to the date of receipt of the Single Form. It is either the eligibility date set in the Single form or the eligibility date of the HIP, whatever occurs latest.

⁵ Single Forms will be submitted to ECHO using APPEL

⁶ The Commission reserves the right to consider Single Forms transmitted after this date, especially in case certain needs/ priorities are not covered by the received Single Forms.

- Demonstrated backing from a range of relevant actors, namely donors i.e. co-financing from other donors (or prospect thereof).⁷
- Indicative medium to long term financial plan (estimated budget including funding sources) available and realistic.⁸
- Effectiveness of approach and intervention logic to achieve outcomes in terms of build or strengthened humanitarian capacity.
- Efficiency in terms of resources used to achieve outputs and outcomes.
- Monitoring and evaluation framework (including indicators and sources of verification) well defined, appropriate, relevant and realistic/feasible in order to ensure clear measurability of outputs and outcomes.
- Clear institutional ownership.

Assessment Round 2

- Relevance to the priorities defined in the HIP and relevance to general ECHO policies and in particular on gender and the protection guidelines.
- Relevance to the commitments made in the context of and active participation in the Call to Action of Protection from Gender-Based Violence in Emergencies (hereafter "the Call to Action");
- Systemic scope and global nature, i.e. geared towards capacity building benefitting the humanitarian system as a whole and/or all actors involved in humanitarian relief.
- Incorporation of lessons learned and good practice already developed in the framework of the Call to Action.
- Knowledge management well-defined, sustainable and open; willingness to proactively share lessons and evidence.
- Synergies with other initiatives, absence of overlaps.
- Inclusiveness (consortia or coordination agreement, quality and number of partners) to ensure the broadest coverage of a given sector or subject and the potential uptake.
- Coordination with relevant humanitarian governance mechanisms (e.g. Global Clusters, communities of practice).
- Indicative medium-to-long term financial plan (estimated budget including funding sources) available and realistic.
- Effectiveness of approach and intervention logic to achieve outcomes in terms of build or strengthened humanitarian capacity.
- Efficiency in terms of resources used to achieve outputs and outcomes.

⁷ Only in exceptional cases, ECHO will contribute with more than 85% to the overall budget of an action submitted under for funding under this HIP.

⁸ Partners are expected to submit an estimation covering the next 3-5 years if appropriate.

- Monitoring and evaluation framework (including indicators and sources of verification) well defined, appropriate, relevant and realistic/feasible in order to ensure clear measurability of outputs and outcomes.
- Clear institutional ownership.

3.2.2. *Operational guidelines:*

3.2.2.1. General Guidelines

In the design of the operation, ECHO policies and guidelines need to be taken into account:

The EU resilience communication and Action Plan

http://ec.europa.eu/echo/policies/resilience/resilience_en.htm

Food Assistance

http://ec.europa.eu/echo/policies/food_assistance_en.htm

Nutrition

http://ec.europa.eu/echo/files/news/201303_SWDundernutritioninemergencies.pdf

Cash and vouchers

http://ec.europa.eu/echo/policies/sectoral/cash_en.htm

Protection

http://ec.europa.eu/echo/policies/sectoral/protection_en.htm

Children in Conflict

http://ec.europa.eu/echo/files/policies/sectoral/children_2008_Emergency_Crisis_Situations_en.pdf

Emergency medical assistance

http://ec.europa.eu/echo/policies/sectoral/health_en.htm

Civil–military coordination

http://ec.europa.eu/echo/policies/sectoral/civil_military_en.htm

Water, Sanitation and Hygiene

http://ec.europa.eu/echo/files/policies/sectoral/WASH_SWD.pdf

Gender

http://ec.europa.eu/echo/files/policies/sectoral/Gender_SWD_2013.pdf

Disaster Risk Reduction

http://ec.europa.eu/echo/files/policies/prevention_preparedness/DRR_thematic_policy_doc.pdf

Health guidelines

http://ec.europa.eu/echo/files/policies/sectoral/health2014_general_health_guidelines_en.pdf

Visibility guidelines toolkit and visual identity

Partners will be expected to ensure full compliance with **visibility** requirements and to acknowledge the funding role of and partnership with the EU/ECHO, as set out in the applicable contractual arrangements, namely the following:

- The communication and visibility articles of the General Conditions annexed to the Framework Partnership Agreements (FPAs) concluded with non-governmental organizations or international organizations or in the General Conditions for Delegation Agreements concluded in the framework of the Financial and Administrative Framework Agreement (FAFA) with the UN.
- Specific visibility requirements agreed-upon in the Single Form, forming an integral part of individual agreements:
 - Section 9.1.A, Standard visibility in the field, including prominent display of the EU humanitarian aid visual identity on EU funded relief items and equipment; derogations are only possible where visibility activities may harm the implementation of the Action or the safety of the staff of the partner, staff of the Implementing partners, the safety of beneficiaries or the local community and provided that they have been explicitly agreed-upon in the individual agreements.
 - Section 9.1.B, Standard visibility recognizing the EU funding through activities such as media outreach, social media engagement and provision of photos stories and blogs; every partner is expected to choose at least 4 out of 7 requirements. If no requirements are selected, a project-specific derogation based on security concerns is needed.
 - Section 9.2., Above standard visibility; applicable if requested and if agreed with ECHO based on a dedicated communication plan prior to signature.

Further explanation of visibility requirements and reporting as well as best practices and examples can be consulted on the dedicated ECHO visibility site: <http://www.echo-visibility.eu/>

3.2.2.2. Specific guidelines

General

Partners should submit together with the proposal an indicative medium to long term financial overview which estimates the resource needs over 3-5 years, i.e. beyond the ECHO funding period, if applicable. This should include a discussion of the options to cover these needs for example through cost recovery, contributions of participating partners or funding through other donors. The partners are free to choose the most appropriate format of this indicate overview.

In terms of inclusiveness, ECHO seeks to encourage initiatives which involve a broad range of actors engaged in humanitarian response – for example civil protection, the private sector, academia, scientific research, military, and key regional organisations.

Open and effective knowledge sharing within and beyond the humanitarian sphere is considered primordial for their success. In order to ensure the maximum reach and uptake, material produced by the projects should be shared on recognized, existing platforms instead of creating new, project-driven internet presence. A concept to ensure ownership and accessibility of the material beyond the project duration should be elaborated. This includes options for future updates, if applicable.

Proposals including a training component should include monitoring system with indicators for participants' reaction, learning and behaviour change according to the Kirkpatrick model or similar. This includes baselines as well as systematic end of training and ex-post monitoring.

Projects will be subject to a monitoring mission by ECHO staff at least once and preferably, if applicable, in form of joint monitoring missions to field locations with headquarters representatives of the partner organizations. As a donor, ECHO might become observer in project advisory boards.

Assessment Round 2

In June 2017, the European Union (EU), through the Commission's humanitarian aid department (DG ECHO), took over the leadership of the 'Call to Action on Protection from Gender-Based Violence in Emergencies', a global multi-stakeholder initiative which brings together over 60 members, including States and donors, international organisations and NGOs striving to ensure that gender-based violence (GBV) is addressed in humanitarian crises. The EU has been an active member of the Call to Action since its launch in 2013. During its leadership, the EU will focus on four (4) main priorities:

1. Increase advocacy on the need to prevent and respond to GBV.
2. Increase focus on prevention of GBV in emergencies. Measures to mitigate risks must become a reflex for all humanitarian actors.
3. Bring the Call to Action to the field, where it can have the biggest impact.
4. Implement commitments, following the Call to Action Roadmap 2016-2020.

The focus of **Assessment Round 2** of ERC funding is on priority 3; bringing the Call to Action to the **field**.

According to the findings of three field assessment missions conducted by the Women's Refugee Commission (WRC) in 2016 to investigate the degree to which the Road Map's priority actions are being implemented in humanitarian efforts to prevent and respond to GBV, "an essential next step is to launch the Call to Action at the field level. [...] Field staff are generally unfamiliar with the Road Map and the framework that it offers to guide their sectors of work."⁹

Specific guidelines for the proposals:

- The scope of the action could either be national, regional or related to a specific crisis. Diverse contexts in terms of type of crisis (e.g. internal displacement, refugee settings, conflict/natural disaster-affected settings etc) and performances of humanitarian actors in implementing prevention and response GBV programmes should be selected to enhance the development of lessons learnt to eventually inform similar initiatives in other contexts in the future;
- Proposals should include a clear and time bound strategy for the operationalization of the Call to Action in field - settings;
- The action should include the development of context-based strategic framework;
- Funding will be allocated to the implementation of specific activities aimed at reaching the outcomes included in the strategic framework (e.g. capacity building, advocacy etc.)

⁹ <https://www.womensrefugeecommission.org/gbv/resources/1479-call-to-action-gbv-protection-in-emergencies>

- A Monitoring and Evaluation (M&E) framework shall also be developed in each specific setting. The M&E framework can be provided in the course of the action, in close collaboration with other relevant stakeholders both at field and global level;
- Findings from the field should be systematically documented and circulated in the form of advocacy messages both at field and at global level; the development of a specific lessons learnt document at the end of the action is strongly encouraged;
- Costs related to outreach activities aimed at expanding the membership to the call to action will also be considered for funding;
- The action should build upon available lessons learnt; in specific, WRC report titled “the Call to Action on Protection from GBV in Emergencies: field-level implementation urgently required”¹⁰ and IRC report titled “The Impact of the Call to Action on Protection from Gender-Based Violence in Emergencies”¹¹
- Coordination with other Call to Action-related initiatives as well as other GBV-related initiatives (e.g. Real Time Accountability Partnership)¹² should be clearly specified;
- Coordination with the members of the Call to Action Steering Committee is a pre-requisite for funding.

¹⁰ <https://www.womensrefugeecommission.org/gbv/resources/1479-call-to-action-gbv-protection-in-emergencies>

¹¹ http://gbvresponders.org/wp-content/uploads/2017/07/The-Impact-of-the-CTA-on-protection-from-GBV-in-emergencies-FULL-WEB.pdf?utm_content=buffer6f300&utm_medium=social&utm_source=twitter.com&utm_campaign=buffer.

¹² <https://interagencystandingcommittee.org/focal-points/documents-public/real-time-accountability-partnership-gbv-emergencies>.

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