



Breakdown per Action as per Worldwide Decision (in euros):

Country	Action (a) Man-made crises and natural disasters	Action (c) DIPECHO	TOTAL
Ukraine	22 000 000	1 000 000	23 000 000
Eastern Neighbourhood	3 800 000		3 800 000

### 3. PROPOSAL ASSESSMENT

Under the EU Financial Regulation, grants must involve co-financing; as a result, the resources necessary to carry out the action must not be provided entirely by the grant. An action may only be financed in full by the grant where this is essential for it to be carried out. In such a case, justification must be provided in the Single Form (section 10.4).

#### 3.1. Administrative info

##### UKRAINE

##### Allocation round 1

- a) Indicative amount: EUR 15.000.000
- b) Description of the humanitarian aid interventions relating to this assessment round: all interventions as described in section 3.4 of the HIP.
- c) Costs will be eligible from 01/01/2019 Actions will start from 01/01/2019
- d) The initial duration for the Action may be up to 24 months, including for Actions on Education in Emergencies, Disaster Preparedness.
- e) Potential partners<sup>2</sup>: All DG ECHO Partners
- f) Information to be provided: Single Form<sup>3</sup>
- g) Indicative date for receipt of the above requested information: by 28/02/2019<sup>4</sup>

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<sup>2</sup> For UK based applicants (non-governmental organisations): Please be aware that you must comply with the requirement of establishment in an EU Member State for the entire duration of the grants awarded under this HIP. If the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding or be required to leave the project on the basis of Article 15 of the grant agreement.

<sup>3</sup> Single Forms will be submitted to DG ECHO using APPEL.

### **Allocation round 2**

- a) Indicative amount: EUR 5.000.000
- b) Description of the humanitarian aid interventions relating to this assessment round: all interventions as described in section 3.4 of the HIP.
- c) Costs will be eligible from 01/01/2019 Actions will start from 01/01/2019
- d) The initial duration for the Action may be up to 24 months, including for Actions on Education in Emergencies, Disaster Preparedness.
- e) Potential partners<sup>5</sup>: priority will be given to the existing partners which enjoy full access in both the GCA and in the NGCA and are able to provide an immediate assistance and cover the gaps in one or more of the following sectors: winterisation, health, WASH, basic needs and shelter. As for the assistance in the Russian Federation, IFRC should be prioritised due to the ongoing actions, experience and relevant expertise.
- f) Information to be provided: Single Form<sup>6</sup>
- g) Indicative date for receipt of the above requested information: by 06/08/2019<sup>7</sup>

### **Allocation round 3**

- a) Indicative amount: EUR 3.000.000
- b) Description of the humanitarian aid interventions relating to this assessment round: priority will be given to the provision of winterisation support, basic needs and health interventions.
- c) Costs will be eligible from 01/01/2019 Actions will start from 01/01/2019
- d) The initial duration for the Action may be up to 24 months, including for Actions on Education in Emergencies, Disaster Preparedness.
- e) Potential partners<sup>8</sup>: priority will be given to ICRC as the partner enjoys the full access in both the GCA and NGCA and is able to provide an immediate multi-sectorial assistance in the prioritised sectors.

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<sup>4</sup> The Commission reserves the right to consider Single Forms transmitted after this date, especially in case certain needs/ priorities are not covered by the received Single Forms.

<sup>5</sup> For UK based applicants (non-governmental organisations): Please be aware that you must comply with the requirement of establishment in an EU Member State for the entire duration of the grants awarded under this HIP. If the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding or be required to leave the project on the basis of Article 15 of the grant agreement.

<sup>6</sup> Single Forms will be submitted to DG ECHO using APPEL.

<sup>7</sup> The Commission reserves the right to consider Single Forms transmitted after this date, especially in case certain needs/ priorities are not covered by the received Single Forms.

- f) Information to be provided: Single Form<sup>9</sup>
- g) Indicative date for receipt of the above requested information: by 20/12/2019<sup>10</sup>

## **EASTERN NEIGHBOURHOOD**

### **Allocation round 1**

- a) Indicative amount: up to EUR 800.000 (from EUR 1 800 000 allocated to Eastern Neighbourhood)
- b) Description of the humanitarian aid interventions relating to this assessment round: the interventions should be focused on Bosnia and Herzegovina and should address specifically the protection, primary and secondary healthcare.
- c) Costs will be eligible from 01/01/2019
- d) The initial duration for the Action may be up to 12 months
- e) Potential partners<sup>11</sup>: Due to the emergency nature of the intervention, priority will be given to partners in Bosnia and Herzegovina to cover urgent gaps in the provision of health and protection with the following conditions:
  - proven extended experience in providing solid programmatic support to refugees, asylum seekers, migrants in the Western Balkans Region, mainly with regard to the “refugee crisis” in the former Yugoslav Republic of Macedonia and the Republic of Serbia since 2015;

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<sup>8</sup> For UK based applicants (non-governmental organisations): Please be aware that you must comply with the requirement of establishment in an EU Member State for the entire duration of the grants awarded under this HIP. If the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding or be required to leave the project on the basis of Article 15 of the grant agreement.

<sup>9</sup> Single Forms will be submitted to DG ECHO using APPEL.

<sup>10</sup> The Commission reserves the right to consider Single Forms transmitted after this date, especially in case certain needs/ priorities are not covered by the received Single Forms.

<sup>11</sup> For UK based applicants (non-governmental organisations): Please be aware that you must comply with the requirement of establishment in an EU Member State for the entire duration of the grants awarded under this HIP. If the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding or be required to leave the project on the basis of Article 15 of the grant agreement.

- demonstrated operational capacities, being already deployed in Bosnia and Herzegovina and responding to the current situation with strong capacities to scale-up;
- strong expertise in implementing protection-related programs in the Western Balkans region, with regard to case management for unaccompanied minors and SGBV and border monitoring;
- experience to engage with local institutions in primary and secondary healthcare;
- demonstrated knowledge on health system in Bosnia and Herzegovina.

f) information to be provided: Single Form<sup>12</sup>

g) Indicative date for receipt of the above requested information: 15/12/2018.

Additional assessment rounds will be added in line with the requirements spelled out in the HIP.

### **Allocation round 2**

- a) Indicative amount: up to EUR 1.000.000 (from EUR 1 800 000 allocated to Eastern Neighbourhood)
- b) Description of the humanitarian aid interventions relating to this assessment round: the interventions should be focused on Bosnia and Herzegovina and should aim to secure continuity and access to health services for persons of concern, ie vulnerable refugees and asylum seekers. Due to recent arrivals and increase of out-of-camps population, more systematized and better resourced protection-based outreach activities should be put in place.
- c) Costs will be eligible from 01/01/2019
- d) The initial duration for the Actions may be up to 12 months
- e) Potential partners: due to the emergency nature of the intervention, UNHCR and DRC are preselected partners for the following reasons:
  - successful implementation of similar actions with DG ECHO funding, focused respectively on protection and health;
  - demonstrated operational capacities, being already deployed in Bosnia and Herzegovina and responding to the current situation with strong capacities to scale-up;
  - protection-based outreach activities ready to quickly scale-up and extend their scope;

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<sup>12</sup> Single Forms will be submitted to DG ECHO using APPEL.

- strong technical expertise and experience to engage with local institutions in primary and secondary healthcare and protection;
  - proven knowledge of Bosnia and Herzegovina's health system;
  - effective operational coordination with other humanitarian actors.
- f) Information to be provided: Single Form<sup>13</sup>
- g) Indicative date for receipt of the above requested information: 15/05/2019.

### **Allocation round 3**

- a) Indicative amount: up to EUR 2.000.000
- b) Description of the humanitarian aid interventions relating to this assessment round: the interventions should be focused on Bosnia and Herzegovina and should aim to secure continuity and access to health and protection services, inside and outside the existing reception centres, as well as address the existing gaps, based on a robust needs analysis and data collection (such as specialized MHPSS (from level 1 to level 4), emergency protective shelter, assistance to victims or PoCs under threat of violence, etc.). As much as possible, the delivering of health and protection services should be strongly incorporated in the available public services. Given the increase of the number of people located outside of the reception facilities, the new funding should help strengthening the outreach assistance and provide emergency support for those in need. Particular attention should be given to coordination with other actors and advocacy.
- c) Costs will be eligible from 01/01/2019
- d) The initial duration for the Actions may be up to 12 months
- e) Potential partners: priority will be given to the existing partners, which are able to provide continuous assistance and cover the gaps in one or more of the following sectors: health, protection and outreach assistance.
- f) Information to be provided: Single Form<sup>14</sup>
- g) Indicative date for receipt of the above requested information: 06/08/2018.

## **3.2. Operational requirements:**

### *3.2.1. Assessment criteria:*

#### 1) Relevance

- How relevant is the proposed intervention and its coverage for the objectives of the HIP?

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<sup>13</sup> Single Forms will be submitted to DG ECHO using APPEL.

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- Do joint (prioritised) needs assessment and coordination mechanisms of the humanitarian actors exist, and if so, has the joint needs assessment been used for the proposed intervention and/or has the proposed intervention been coordinated with other relevant humanitarian actors?
- 2) Capacity and expertise
- Does the partner, with its implementing partners, have sufficient country / region and / or technical expertise?
  - How good is the partner's local capacity? Is local capacity of partners being built up?
- 3) Methodology and feasibility
- Quality of the proposed response strategy, including intervention logic / logframe, output & outcome indicators, risks and challenges.
  - Feasibility, including security and access constraints.
  - Quality of the monitoring arrangements.
- 4) Coordination and relevant post-intervention elements
- Extent to which the proposed intervention is to be implemented in coordination with other actions (including where relevant use of single interoperable registries of beneficiaries).
  - Extent to which the proposed intervention contribute to resilience, LRRD and sustainability.
- 5) Cost-effectiveness/efficiency/transparency
- Does the proposed intervention display an appropriate relationship between the resources to employed, the activities to be undertaken and the objectives to be achieved?
  - Is the breakdown of costs sufficiently displayed/explained?<sup>15</sup>

In case of actions ongoing in the field, where DG ECHO is requested to fund the continuation thereof, a field visit may be conducted by DG ECHO field expert (TA) to determine the feasibility and quality of the follow-up action proposed.

### 3.2.2. *Specific operational guidelines and operational assessment criteria:*

This section outlines the specific operational guidelines that need to be taken into account by DG ECHO partners in the design of humanitarian operations supported by DG ECHO. It also lists and explains the assessment criteria – based on those outlined in section 3.2.1 - that will be applied by DG ECHO in the specific context of the HIP to which this Technical Annex relates when assessing proposals submitted in response to the related HIP.

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<sup>15</sup> In accordance with the relevant section of the Single Form guidelines (section10)

*Health/ Mental Health and Psycho-Social Support (MHPSS)*

DG ECHO will continue the provision of emergency and primary health services to the most vulnerable population located in areas affected by the ongoing hostilities, in the Government Controlled Area (GCA) as well as and in the Non-Government Controlled Area (NGCA). DG ECHO will also address the gaps in secondary/tertiary health care, mainly war surgery. Special emphasis will be given to expanding access to health services for most vulnerable people who are not receiving curative or preventive healthcare. DG ECHO will focus on areas where local health systems are dysfunctional. It will strengthen primary healthcare (fixed and mobile medical units) through supplying medical equipment, medicines and capacity building as well as by reinforcing the patient referral system. Cash-based/vouchers interventions to facilitate access to health services for population residing on the contact line where local health systems are dysfunctional (e.g. contribution to transportation costs for referrals) may be considered, as well as interventions to reinforce provision of first aid at the crossing points between GCA and NGCA.

DG ECHO will support humanitarian interventions that will significantly increase availability and access of women and girls to sexual and reproductive health (SRH) services in the NGCA and along the line of contact.

DG ECHO applies a strict needs-and-evidence based approach in support to provision of healthcare, including MHPSS. All proposed activities must be justified based on in-depth updated needs assessment, highlighting unaddressed humanitarian healthcare needs. All DG ECHO supported healthcare engagements must be in line with and guided by the DG ECHO health policy.

[http://ec.europa.eu/echo/files/policies/sectoral/health\\_thematic\\_policy\\_document\\_en.pdf](http://ec.europa.eu/echo/files/policies/sectoral/health_thematic_policy_document_en.pdf)

MHPSS needs (both in terms of service provision and capacity building) remain a top priority for ECHO, since this is one of the most requested forms of assistance from conflict-affected people. DG ECHO will support projects, which integrate MHPSS components, and encourages partner to mainstream MHPSS activities in their actions in Ukraine. Proposed activities must adhere to the IASC Guidelines on Mental Health and Psychosocial Support in Emergency Settings; partners must document the quality of proposed activities and their technical capacities.

Capacity building for local professionals (from the education and health sectors) to identify and address children's and other vulnerable groups heightened stress/trauma levels could also be considered for funding. Psychosocial assistance provided through DG ECHO will be closely coordinated with the EU Instrument for Consolidating Stability and Peace (IcSP) instrument. In this regard, MHPSS activities taking place in the NGCA will be prioritized.

Procurement of any medicines and medical materials with DG ECHO funds and/or for use in DG ECHO supported healthcare projects must follow the DG ECHO procurement regulations strictly. Such procurement should either be with a DG ECHO identified Humanitarian Procurement Centre (HPC), as per the list published



by DG ECHO, or the DG ECHO partner must provide documented assurance that medicines and/or medical materials procured at an alternative source meet the necessary international quality standards. For more information, please consult the ECHO guidelines on principles and procedures applicable to procurement contracts at [http://dgecho-partnershelpdesk.eu/media/annexiii\\_en\\_131112.pdf](http://dgecho-partnershelpdesk.eu/media/annexiii_en_131112.pdf)

### *Shelter & NFIs (Winterization)*

DG ECHO will strive to enhance housing conditions of the conflict-affected population, particularly along the contact line. In the GCA, shelter rehabilitations and repairs will be gradually phased out, shifting the focus to protection through a caseload management approach. In the NGCA, shelter rehabilitations and repairs should be scaled up, with further integration of Housing, Land and Property (HLP) rights, protection and referral mechanisms, as feasible. However, stockpiling of emergency items prepositioned to assist local authorities in the response to military-related incidents involving civilians and residential premises may be considered.

DG ECHO will support rehabilitation and repairs works of conflict-damaged accommodation in light to medium categories (i.e. no major repairs/full reconstruction to be supported), based on the vulnerability of persons, the extent of the damage of the housing, and in light of protection and socio-economic aspects. In-kind and self-help approaches, if relevant and justified, should always include technical supervision and oversight. When implementing under cash modality, one-off disbursements will not be considered, as quality assurance should remain the focus of the response in order to achieve the intended shelter outcome. For all shelter activities, partners must comply with

DG ECHO Shelter guidelines and Shelter Cluster recommendations ([http://ec.europa.eu/echo/sites/echo-site/files/ss\\_consolidated\\_guidelines\\_final\\_version-20-02ev.pdf](http://ec.europa.eu/echo/sites/echo-site/files/ss_consolidated_guidelines_final_version-20-02ev.pdf) ). Materials containing asbestos must be avoided, and ‘resilient’ materials used. This is particularly relevant for the replacement of windows using glass materials in individual houses and multi-story buildings in locations along the contact line, which are repeatedly targeted by shelling and sniper activity. Rehabilitation and repair of civilian infrastructures, such as school and health facilities, will also be supported.

For winterization, if cash cannot be implemented as a modality, DG ECHO will also supply non-food items such as wood stoves, firewood, coal, heaters, warm blankets, winter clothes etc. to vulnerable households in the NGCA and in the contact line areas. Winterization needs and capacities to respond to surge needs remain of particular concern given the geographical isolation of some hard-to-reach settlements and the overall economic vulnerabilities living close to the contact line on both sides.

### *Basic Needs*

ECHO will support basic needs assistance through the most effective and efficient modality, whether it be cash, vouchers or in-kind assistance. Partners should

provide an accurate and comprehensive situation analysis, including preliminary market analysis and multi-sector needs assessment, of the most appropriate and feasible type of transfer to meet identified needs. DG ECHO will give priority to the most vulnerable along the line of contact.

Food Assistance packages must be in line with the Food Security Cluster's recommendations, while the value of the multi-purpose cash transfer would normally be based upon a Minimum Expenditure Basket (MEB) and its periodic revisions, as agreed at the Cash Working group. In the spirit of 'do no harm', partners should ensure that a good analysis is carried out concerning the impact of a proposed action on the protection of vulnerable groups within the target population (for this purpose partners are recommended to refer to the "Guidance for Integrated Food Assistance and Protection Programming").

DG ECHO will consider, on an ad hoc basis, providing livelihood support through cash or in kind support to income generating activities or small-scale farming to the most vulnerable population affected by the conflict along the line of contact and in the NGCA. This is the best way to ensure an early recovery approach at household level, so that beneficiaries can transition from humanitarian assistance towards more sustainable livelihoods.

### *Education in Emergencies*

DG ECHO will support actions aiming at ensuring access to safe, quality and accredited primary and secondary education, provision of life-saving and life-sustaining skills and protection for pupils. Gaps in conflict-related repairs, school equipment, education materials, capacity building, non-formal and life skills education, as well as advocacy and technical support will also be addressed whenever relevant. Recently launched activities may also be supported, e.g. "Attacks on Education monitoring and response"; Support for "Education Cannot Wait" funded projects and "Safe Schools" pilots; Capacity Strengthening on Conflict Sensitive Education.<sup>16</sup>

Assistance should be aligned to Conflict Sensitive Education principles and provide an integrated education and child protection response. Priority will be given to actions aiming at protecting education from attacks and operationalizing commitments from the Safe Schools Declaration at national, district and school levels, including engagement with nonstate armed groups. This includes the provision of PSS for students and teachers, and actions to prevent school closures (or support students to continue their education in other ways). Where children's education is disrupted by violence, actions should support their return to formal education within three months, through appropriate rapid response mechanisms and other means. PSS, as part of Education, and combined with Child Protection may also be considered across the prioritised geographical areas envisioned by ECHO.

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<sup>16</sup> These initiatives have been launched by the Education cluster in Ukraine in 2018.  
<https://www.humanitarianresponse.info/en/operations/ukraine/education>

### Protection

DG ECHO will also support initiatives designed to address protection risks and violations in an integrated manner ensuring centrality of protection and protection sensitive targeting in all programme activities notably to address increasingly compounded vulnerabilities. All humanitarian interventions funded by DG ECHO must take into consideration, together with other protection concerns, any risk of gender-based violence and develop and implement appropriate strategies to prevent such risks. Section 3.1.3 of the eSF should include a context-specific analysis of risks (threats, hazards, vulnerabilities and capacities) faced by contextually relevant gender, age, and disability groups and section 3.1.4 of the eSF should clearly demonstrate how the risks (threats, hazards, vulnerabilities and capacities) faced by contextually relevant gender, age, and disability groups informs the response strategy. Protection mainstreaming (including disability inclusion) and gender and age mainstreaming are reflected across all results and activities and the logical framework includes an indicator at outcome level measuring protection mainstreaming. In multi-sectorial programmes, the partner should demonstrate capacity to mainstream protection and gender in the proposed action.

Dedicated standalone protection activities will be considered, for the following possible areas of support being (but not necessarily limited to): mine action; registration and access to documentation and freedom of movement; protection information management systems; support to restoring family links or family tracing and reunification (FTR), as well as comprehensive assistance to victims of all kind of violence and legal aid; Information, Counselling and Legal Assistance (ICLA), including on housing, land and property rights). As the trend of sexual gender-based violence (SGBV) is particularly worrisome, this implies PSS as a whole, shelters, legal counselling and assistance to SGBV survivors, including empowering of the Community-Based PSS structures and mechanisms. The “case management” approach in PSS, and generally in protection, will be given extra attention.

### WASH

In conflict-affected areas along the contact line, DG ECHO will consider to support projects aiming at ensuring adequate and sustained access (in terms of quality and quantity) to safe water and sanitation. Priorities in WASH will be rehabilitation of conflict damaged civil infrastructures and emergency support to water utilities; hygiene kits distribution; solid waste management. Basic rehabilitation of water supply and sanitation infrastructure damaged by the conflict will be prioritised. This includes projects that aim at providing proper operation and maintenance (O & M) of existing infrastructure (Water Safety Plans), supply of equipment (i.e. generators, water and sludge pumps), water treatment chemicals and capacity building of water service providers. Support to temporary alternative water systems (such as water trucking) could also be envisaged as last resort and when deemed necessary and for limited / designated period of time. Water quality treatment, testing and monitoring will continue to constitute a key component of the WASH response. It is also

extremely important to continue to pay a particular attention to the issue of water contamination and ecological risks affecting Eastern Ukraine.

### DRR

Dedicated DRR activities will be supported in the following three key areas:

- Improved knowledge and understanding on industrial/environmental risks linked to the conflict in Donbass, with basic risks addressed with mitigation measures to the extent possible. All key stakeholders would benefit from such action, which would introduce contingency risk-informed planning and preparedness action. Monitoring mechanism on environment-related data exchange and trend analysis will be promoted and supported.
  
- Current response to the crisis in eastern Ukraine has revealed gaps in terms of coordination, particularly with the local stakeholders and on DRR-related issues. Efforts shall also be undertaken to involve stakeholders at national level through support to the Ukraine Action Plan on disaster-related emergency situations 20152020.
  
- The situation of people in eastern Ukraine is characterized by the particular vulnerability linked to both, environmental industrial risks and the adverse impact of the conflict. This is compounded by weak technical capacity (particularly in terms of DRR capacities) of the local responders. Further, the area is confronted with an absence of lack of well-designed and effective operational / updated emergency response plans. Therefore, activities aiming at enhancing technical capacity building and preparedness/response planning can be considered, whereby the focus should be on reinforcing the capacity of the local actors in emergency preparedness and contingency planning.

**Contingency planning and preparedness** (emergency stocks) will be considered appropriate, in order to enable partners to react rapidly to new potential displacements in 2019.

### ***Response Modality***

In the context of the conflict in eastern Ukraine, cash may be considered as one of the most effective means to reach out/help a maximum of people, considering that most commodities are still available on the local markets and that this approach would stimulate the local market. This does not apply in the NGCA, where neither cash nor vouchers are permitted at a larger scale. Even in remote areas at the contact line in the GCA, in-kind support may be more appropriate. All proposals should incorporate a well-articulated response analysis that builds on the needs assessment, and clearly informs the choice of response(s) and modalities. The choice of resource transfer modalities (cash, vouchers or in-kind) must be based on a sound analysis and targeting and monitoring mechanisms follow the Cash Working Group (CWG) recommendations. For any Multi-Purpose Cash transfer intervention, the cash transfer value must be in line with the CWG recommendations. Protection and

gender analysis should be integral to the design and implementation of MPCT. For more details on cash-based assistance, see the specific paragraph below.

### **CASH-BASED ASSISTANCE**

Where assistance is to be delivered in the form of cash transfers, particular attention will be paid to the principles laid down in DG ECHO's cash guidance note, which will form the basis for the assessment and selection of partners, in particular in the case of large scale transfers. Partners will be expected to demonstrate a satisfactory efficiency ratio and, to the extent possible and taking into account the operational context, partners will be assessed on their ability to work on the basis of common targeting criteria, single or interoperable beneficiary registries, a single payment mechanism, a common feedback mechanism and a common results framework. In line with the cash guidance note, DG ECHO will expect partners to strive for segregation of duties and full transparency on the costs of implementation. For the delivery of smaller-scale cash transfers, DG ECHO will assess proposals paying particular attention the Guidance note's principles of coordination, harmonisation and multi-partner approach. A good efficiency ratio will also be expected for small-scale projects.